



THINGS TO CONSIDER: *Before Hiring A Financial Advisor*

When preparing to retire, one of the most critical questions you can ask yourself is, “Do I need to hire a Financial Advisor?” Reflecting on the type of guidance you need will help narrow down the kind of advisor that fits your situation. Knowing what questions to ask when interviewing an advisor can help prevent you from hiring the wrong team. Here are some questions we recommend that you bring to the table when evaluating an advisor and your potential future relationship.

THINGS TO CONSIDER: BEFORE HIRING A FINANCIAL ADVISOR

- 1 Is your firm registered with the SEC or FINRA?
- 2 Does your firm sell commission products like annuities?
- 3 How long has the firm been in business and how long have you worked there?
- 4 How long has the firm been in the industry and why are you at this firm?
- 5 How do you get paid?
- 6 What are your professional qualifications?
- 7 What happens to my account if you are no longer at the firm?
- 8 What is your documented process for helping me achieve my objectives?
- 9 Do you provide financial planning or is that an extra fee?
- 10 Do you work alone or as a part of a team and how are they compensated?
- 11 How often will I need to come to your office?
- 12 Who determines what investments I own?

YOUR NEXT STEPS

Book your Next Steps meeting at



TalktoFEG@YourLifeAfterWork.com



(800) 928 - 4001



www.YourLifeAfterWork.com



We help reduce financial regrets, and few issues are more regrettable than simple strategies that were overlooked and are no longer an option.

Your beneficiaries and your spouse will thank you for investing your time.

From Our Family to Yours, Thank You!



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