



FINANCIAL
ENHANCEMENT
GROUP, LLC

Privacy Policy

At Financial Enhancement Group, your trust is our top priority.

The confidentiality of your personal financial information is of paramount importance to all of us, and we will remain vigilant in protecting your privacy. We are sending you this privacy notice to help you understand how we use your private financial information, and to let you know what we do to help protect your privacy.

What Information Do We Collect From You and About You?

To serve your client needs, Financial Enhancement Group collects information from you and about you. Some of this information is collected to satisfy certain legal and regulatory requirements, while other information is collected so that we can service your account effectively. Examples of the information that we collect includes:

- Information from your account application and other forms, such as name, address, Social Security number, telephone number, employment information, income, net worth, investment experience, and other information to service your account effectively.
- Information provided by you when you visit us in person, over the telephone, through the mail or via e-mail.
- Information about your financial transactions, including securities purchased and sold, fund transfers, account balances, and other account activities.

To Whom Do We Disclose Your Information?

Financial Enhancement Group, LLC, does not sell your personal financial information to anyone. However, we do disclose your personal information to third parties or outside companies that help us process your transactions or service your account. One such company is the clearing firm, which processes your transactions and acts as the account custodian. We may also provide information to outside service providers that print and mail your account statements. Any outside company or third-party provider must limit their use of your information to the purpose for which it was provided. Financial Enhancement Group, LLC may also provide your personal information when permitted or required by law or regulation. Examples include providing information in response to a subpoena or court order, to cooperate with regulators, governmental authorities or law enforcement authorities. Outside of these circumstances, we will not provide your personal information to third parties unless you have specifically asked us to do so.

What Do We Do To Protect Your Personal Information?

We restrict access of your personal financial information to personnel who require that information to service your business. All employees are trained regarding the policies and procedures encompassed by this privacy policy. We maintain physical, electronic, and procedural safeguards to guard your personal information. This privacy policy applies to all of our current and former clients. If in the future this privacy policy needs to change for any reason, we will provide you with written notification of the change, allowing you the opportunity to opt-out. For questions regarding Financial Enhancement Group, LLC's privacy policy, please call us at (800)928-4001.

Protecting Your Assets

Securities in your accounts are protected by the Securities Investor Protection Corporation (SIPC). This protection applies to the physical loss or destructions of your securities; it does not apply to any decline in the market value of your securities. For more details, please call SIPC at (202)371-8300 for a brochure or visit www.sipc.org.